

#4  
cket No. 144841-200100**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):**

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[ ] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner \_\_\_\_\_ at Facsimile No. \_\_\_\_\_ at \_\_\_\_\_ a.m./p.m.

Dated: July 6, 2001 Name of Person Certifying: Peggy Nichols  
Printed Name: \_\_\_\_\_

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	NeuroGrafix	Assignee:	Aaron G. FILLER
Filing Date:	December 22, 2000	Examiner:	Unassigned
Serial No.:	09/746,429	Group Art Unit:	Unassigned
Title:	System, Method and Article of Manufacture for Managing a Medical Services Network		

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Submission of Missing Parts of Application Under 37 C.F.R. 1.53**

Sir:

In response to the Notice of Missing Parts of Application mailed on February 6, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [2] page(s)  
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☒ A Petition for Extension of Time. (three- months), [2] page(s) . Fee \$ 445.00
- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice of Missing Parts of Application dated February 6, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.
- ☒ Other: Statement Under 37 CFR 3.73(b) and Drawings labeled 1/21 through 21/21.

3. The following fees are submitted:

	TOTAL MONTHS REQUESTED	OTHER THAN SMALL ENTITY	SMALL ENTITY	CALCULATIONS
a. <input type="checkbox"/>	one month	\$110.00	\$55.00	\$
b. <input type="checkbox"/>	two month	\$390.00	\$195.00	\$
c. <input checked="" type="checkbox"/>	three month	\$890.00	\$445.00	\$445.00
d. <input type="checkbox"/>	four month	\$1,390.00	\$695.00	\$
e. <input type="checkbox"/>	five month	\$1,890.00	\$945.00	\$
f. <input type="checkbox"/>	An extension for ____ months has already been secured for filing the above-identified communication and the fee paid therefor of \$ ____ is deducted from the total fee due for the total months of extension now requested. The fee for this extension (\$ ____), minus the fee previously paid (\$ ____ ) equals \$ ____ (total fee due).			\$
TOTAL FEES =				\$445.00

- ☒ **Conditional Petition for Extension of Time:** An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- ☒ Please charge Deposit Account No. 50-1189, Docket No. 144841-200100, in the amount of \$445.00 to cover the above-fees. *A duplicate copy of this sheet is enclosed.*
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1189, Docket No. 144841-200100. *A duplicate copy of this sheet is enclosed.*

DATE:

July 6, 2021

Respectfully submitted,

By: Antoinette F. Konski

Antoinette F. Konski

Registration No.: 34,202

McCutchen, Doyle, Brown & Enersen, LLP  
 Three Embarcadero Center  
 San Francisco, California 94111  
 Telephone: (415) 393-2000  
 Telefax: (415) 393-2286



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/746,429	12/22/2000	Aaron Gershon Filler	68135334.1000

23562  
BAKER & MCKENZIE  
2300 TRAMMELL CROW CENTER  
2001 ROSS AVENUE  
DALLAS, TX 75201

CONFIRMATION NO. 2094

## FORMALITIES LETTER



\*OC00000005739561\*

Date Mailed: 02/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$90.
  - \$90 for 70 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 155.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/13/2001 AZERGAW1 00000125 501189 09746429

02 FC:205 65.00 CH

Docketed  
by AA Date 0-13-01  
Action Response Date 4-16-01  
to Missing

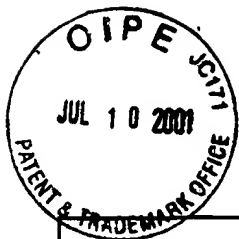
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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL  
FORM

(to be used for all correspondence after filing)

Total number of Pages in this submission **36**

Application Number	09/746,429
Filing Date	December 22, 2001
First Named Inventor	Aaron G. Filler
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket No.	144841-200100

BOX MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231

ENCLOSURES (check all that apply)

- |  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> FEE TRANSMITTAL FORM<br><input type="checkbox"/> FEE ATTACHED<br><input type="checkbox"/> AMENDMENT/RESPONSE<br><input type="checkbox"/> AFTER FINAL<br><input type="checkbox"/> AFFIDAVITS' DECLARATION(s)<br><br><input checked="" type="checkbox"/> EXTENSION OF TIME<br><br><input type="checkbox"/> EXPRESS ABANDONMENT REQUEST<br><br><input type="checkbox"/> INFORMATION DISCLOSURE<br>STATEMENT W/1449<br><br><input type="checkbox"/> CERTIFIED COPY OF PRIORITY<br>DOCUMENT(S)<br><input checked="" type="checkbox"/> RESPONSE TO MISSING PARTS/<br>INCOMPLETE APPLICATION<br><input type="checkbox"/> RESPONSE TO MISSING PARTS<br>UNDER 37 CFR 1.52 OR 1.53<br>COPY OF PTO-1533<br><br><input type="checkbox"/> ISSUE FEE TRANSMITTAL<br><input type="checkbox"/><br><input type="checkbox"/> | <input type="checkbox"/> ASSIGNMENT PAPERS<br>(FOR AN APPLICATION)<br><input checked="" type="checkbox"/> DRAWING(S)<br><input type="checkbox"/> LICENSING-RELATED<br>PAPERS<br><input type="checkbox"/> PETITION ROUTING SLIP<br>(PTO/SB/60) AND<br>ACCOMPANYING<br>PETITION)<br><input type="checkbox"/> TO CONVERT A<br>PROVISIONAL<br>APPLICATION<br><input type="checkbox"/> POWER OF ATTORNEY,<br>REVOCATION CHANGE OF<br>CORRESPONDENCE ADDRESS<br><input type="checkbox"/> TERMINAL DISCLAIMER<br><br><input checked="" type="checkbox"/> SMALL ENTITY STATEMENT<br><input type="checkbox"/> REQUEST FOR REFUND | <input type="checkbox"/> AFTER ALLOWANCE<br>COMMUNICATION TO GROUP<br><input type="checkbox"/> APPEAL COMMUNICATION TO BOARD<br>OF APPEALS AND INTERFERENCES<br><br><input type="checkbox"/> APPEAL COMMUNICATION TO GROUP<br>(APPEAL NOTICE, BRIEF, REPLY BRIEF)<br><br><input type="checkbox"/> PROPRIETARY INFORMATION<br><br><input type="checkbox"/> STATUS LETTER<br><br><input checked="" type="checkbox"/> ADDITIONAL ENCLOSURE(S)<br>(PLEASE IDENTIFY BELOW):<br>RETURN POSTCARD, STATEMENT UNDER 37 CFR<br>3.73 (b) AND DECLARATION AND POWER OF<br>ATTORNEY |
|--|---|--|

REMARKS:

MCUTCHEEN, DOYLE, BROWN & ENERSEN LLP  
THREE EMBARCADERO CENTER  
SAN FRANCISCO, CALIFORNIA 94111  
TELEPHONE: (415) 393-2000  
TELEFAX: (415) 393-2286

DATE: **JULY 6, 2001**

BY:

**ANTOINETTE F. KONSKI (REG. NO. 34,202)**

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231** on this date: **JULY 6, 2001.**

By:

**Peggy Nichols**

Name of person signing: **Peggy Nichols**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	09/746,429
Filing Date	December 22, 2001
First Named Inventor	Aaron G. Filler
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	144841-200100

AMOUNT OF PAYMENT (\$) 510

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1189

Deposit  
Account  
Name

McCutchen, Doyle, Brown &amp; Enersen LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	= 0	X	= 0
Independent Claims	= 0	X	= 0
Multiple Dependent	X		= 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	445
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 510)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Antoinette F. Konski	Registration No. Attorney/Agent)	34,202	Telephone	(415) 393-2000
Signature				Date	July 6, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.